

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S): Miklos
SERIAL NO.: Not Yet Assigned GROUP NO.: 1724 (prior application)
FILING DATE: Herewith EXAMINER: Prince, F. (prior application)
TITLE: Waste Treatment With Control Over Biological Solids

Commissioner for Patents
P.O. Box
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the provisions of 37 C.F.R. 1.97 and 1.98, Applicants hereby make of record the patents and publications listed on the accompanying Form PTO-1449, and other information contained herein, for consideration by the Examiner in connection with the examination of the above-identified patent application. In accordance with the provisions of 37 C.F.R. § 1.98(d), copies of the references are not enclosed as these references were previously cited by or submitted to the U.S. Patent and Trademark Office in a prior application (U.S.S.N 09/798,313) that is relied upon for an earlier filing date under 35 U.S.C. § 120.

REMARKS

In accordance with the provisions of 37 C.F.R. 1.97, this statement is being filed (CHECK ONE):

- ☒ (1) within three (3) months of the **filing date** of a national application, or within three (3) months of the **date of entry of the national stage** as set forth in 37 CFR 1.491 in an international application, or before the mailing of the **first Office action** on the merits, or before the mailing of a **first Office action** after the filing of a request for continued examination under 37 CFR 1.114; or
- ☐ (2) after the period defined in (1) but before the mailing date of a **final action** or a **notice of allowance** under 37 CFR 1.311, and
- ☐ the requisite Statement is below, **OR**
- ☐ the requisite fee under 37 CFR 1.17(p), namely **\$180.00**, is included herein, or

- ☐ (3) after the mailing date of a **final action** or **notice of allowance** but before the payment of the **issue fee**, **AND**
- ☐ the requisite Statement is below, **AND**
- ☐ the requisite petition fee under 37 CFR 1.17(p), namely **\$180.00** is included herein.

It is respectfully requested that each of the patents and publications listed on the attached Form PTO-1449, and other information contained herein, be made of record in this application.

STATEMENT

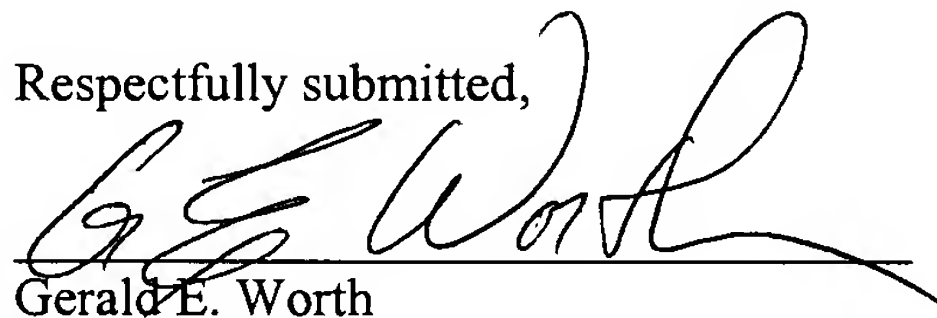
As required under 37 C.F.R. 1.97(e), Applicant(s), through the undersigned, hereby state either that [check the appropriate space only if either (2) or (3) is checked on the previous page and the Statement is required]:

- ☐ 1. Each item of information contained in the Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application **not more than three months** prior to the filing of the Information Disclosure Statement; or
- ☐ 2. No item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this Statement after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to **any individual** designated in 37 CFR 1.56(c) **more than three months** prior to the filing of the Information Disclosure Statement.

Date: September 9, 2003
Reg. No. 45,238

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Respectfully submitted,



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FORM PTO – 1449				ATTORNEY DOCKET NO.: KEY-003C1					
INFORMATION DISCLOSURE STATEMENT				APPLICANT(S): Miklos SERIAL NO.: Not Yet Assigned FILING DATE: Herewith GROUP: 1724 (prior application)					
U.S. PATENT DOCUMENTS									
EXAM. INIT.		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE		
	AA	5,626,755	5/6/97	Keyser et al.	210	614			
	AB	5,658,458	8/19/97	Keyser et al.	210	195.1			
	AC	6,004,463	12/21/99	Swett	210	614			
	AD	5,846,424	12/08/98	Khudenko	210	603	02/15/96		
	AE	6,066,256	05/23/00	Henry et al.	210	614	04/10/98		
	AF	RE32,429	06/87	Spector	210	605			
	AG	3,907,672	09/75	Milne	210	605			
	AH	4,731,185	03/88	Chen et al.	210	605			
	AI	4,891,136	01/90	Voyt	210	605			
	AJ	4,915,840	04/90	Rozich	210	605			
	AK	5,019,266	05/91	Soeder et al.	210	605			
	AL	5,342,522	08/94	Marsman et al.	210	605			
	AM	5,531,896	07/96	Tambo et al.	210	605			
	AN	5,650,069	07/97	Hong et al.	210	605			
	AO	5,733,456	03/98	Okey et al.	210	605			
	AP	5,833,856	11/98	Liu et al.	210	605			
	AQ	5,919,367	07/99	Khudenko	210	605			
	AR	6,054,044	04/00	Hoffland et al.	210	96.1			
FOREIGN PATENT DOCUMENTS									
EXAM. INIT.		DOCUMENT NUMBER	DATE	COUNTRY CODE	CLASS	SUB CLASS	FILING DATE	ABSTRACT ONLY	ENGLISH LANG (Y/N)
	BA	0106043 A2	04/25/84	EP			08/01/83	N	Y
	BB	02251108.3		EP				Y	Y

FORM PTO – 1449 INFORMATION DISCLOSURE STATEMENT		ATTORNEY DOCKET NO.: KEY-003C1 APPLICANT(S): Miklos SERIAL NO.: Not Yet Assigned FILING DATE: Herewith GROUP: 1724 (prior application)	
OTHER ART, JOURNAL ARTICLES, ETC.			
EXAM. INIT.	OTHER DOCUMENTS: (Including Author, Title, Date, Relevant Pages, Place of Publication)		
	CA	Chudoba, P. et al, "The Aspect of Energetic Uncoupling of Microbial Growth in the Activated Sludge Process: OSA System," Water Sci Tech. Vol 26, No. 9-11, pp. 2477-2480, 1992.	
	CB	Westgarth W.C., et al, "Anaerobiosis in the Activated Sludge Process," Advances in Water Pollution Research, Proceedings of the Second IAWPRC Biennial, Tokyo, Japan, 1964, Vol. 2, pp. 43-55	
	CC	Chudoba, P. et al, "The Case of Both Energetic Uncoupling and Metabolic Selection of Micro-organisms in the OSA Activated Sludge System", Environmental Technology 1992; 13: 761-770.	
EXAMINER		DATE CONSIDERED	

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